

Bank Use Only:
CAW No: 043 -

SE Loan Application Form

Note:

1. Please tick "✓" where applicable.

2. * Please delete whichever is not appropriate.

As part of the loan application process, the Applicant is required to complete this application form and to provide supporting documentation. The information is required from you for the Bank's compliance with its customer due diligence policy, local laws and regulations and/or international standards. It forms an important part of the international efforts to combat money laundering, terrorist financing and fraudulent activity. This application form is for the purpose of loan application by new or existing customers. For existing customers, it may not be necessary for you to provide certain background information which you have furnished to the Bank previously, provided that such information remains unchanged. If any such information has changed since you have last completed the loan application/ amendment documentation, then for the purpose of the Bank to comply with its customer due diligence policy, you must promptly provide the Bank with the updated information. Existing information furnished to the Bank is deemed to be valid and unchanged until the Bank is otherwise notified.

3. Applicant(s) is/are required to complete the data fields of section A to F of this application form. If relevant information is not provided, Nanyang Commercial Bank, Limited (including its successors and assigns, the "Bank") may not be able to process your loan application. Please refer to the Bank's "Data Policy Notice" and/or such other document(s) issued under whatever name from time to time by the Bank and certain of its related entities relating to their general policies on use, disclosure and transfer of personal data.

A. Applicant's Basic Information	(Please mark "✓" <input type="checkbox"/> whichever is appropriate)
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1. Particulars of Loan Application

Unsecured Loan: <input type="checkbox"/> Small Business Loan (Term Loan)	Secured Loan: <input type="checkbox"/> Term Loan <input type="checkbox"/> O/D <input type="checkbox"/> Mortgage Loan	<input type="checkbox"/> Others(Please Specify):
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Currency & Amount Requested:	Tenor (For Term Loan / Mortgage Loan only): <input type="checkbox"/> 12 months <input type="checkbox"/> 24 months <input type="checkbox"/> 36 months <input type="checkbox"/> Others(Please Specify): _____ year(s) / months
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Loan Purpose: <input type="checkbox"/> Working Capital <input type="checkbox"/> Capital Investment <input type="checkbox"/> Property Purchase/Refinancing/Top Up <input type="checkbox"/> Others(Please Specify):

2. Information of charged assets (For Secured Loan / Mortgage Loan)

Owner	HKID No. / Passport No.	Type of Security	Value of Security (HKD)	Property Address (If applicable)	Property Purpose (If applicable)
		<input type="checkbox"/> Deposit <input type="checkbox"/> Stock/Bond <input type="checkbox"/> Life Insurance <input type="checkbox"/> Property <input type="checkbox"/> Others(Please Specify):			<input type="checkbox"/> Own Use <input type="checkbox"/> For Rental
		<input type="checkbox"/> Deposit <input type="checkbox"/> Stock/Bond <input type="checkbox"/> Life Insurance <input type="checkbox"/> Property <input type="checkbox"/> Others(Please Specify):			<input type="checkbox"/> Own Use <input type="checkbox"/> For Rental

3. Details of the Company

Company Name:	Business Registration No.:
	Company Registration No. (If applicable):

Company Type: <input type="checkbox"/> Sole Prop. <input type="checkbox"/> Partnership (No. of Partner(s)) _____ <input type="checkbox"/> Limited Co. <input type="checkbox"/> Others(Please Specify): _____	Registered Country/Region: <input type="checkbox"/> China (Hong Kong) <input type="checkbox"/> Others(Please Specify): _____
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Date of Registration(YYYY-MM-DD):	Year of Company/*Keyman account opening in Nanyang Commercial Bank, Limited or our subsidiary(ies) (if applicable)	Year(s)
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Company Address	<input type="checkbox"/> Self-owned (no mortgage) <input type="checkbox"/> Mortgaged <input type="checkbox"/> Others(Please Specify): _____ <input type="checkbox"/> Rented, Monthly Rent HKD _____ Rental period _____(Year(s) / Month) To _____(Year(s) / Month) Any late rental payment more 21 days: <input type="checkbox"/> Yes <input type="checkbox"/> No
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Industry:	Business Nature: (___ No. of Branch ,if applicable)	No. of Staff:
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Major sales market :	Operation Place:	Stock: HKD
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Latest 6 months accumulated deposit amount in operation account of company (excluded returned cheque & related company transfer): HKD _____

Any negative message of company within past 12 months (late salary / rental payment, delinquent of facilities or litigation)

No Yes (Please Specify):

Latest annual turnover HKD _____ Compared last year _____% (If drop , Please Specify)_____		Latest annual net profit HKD _____ Compared last year _____% (If drop , Please Specify)_____			
Name of Buyer(s) / Supplier(s) (If applicable)		% of Business	Year of Business relationship	Settlement method	Credit term (Day)
Major	Buyer				
	Supplier				
Second	Buyer				
	Supplier				
Third	Buyer				
	Supplier				
Current Facility(ies) of the Applicant (If applicable)					sheet(s) attached _____, No.: _____
Name of Lender	Type of Loan (Term Loan /O/D/Others(Please Specify)):	Loan Amount	Outstanding Balance (Term Loan Only)	Security (If applicable)	
4. Details of *Keyman(s)/ Guarantor(s)					sheet(s) attached _____, No.: _____
	<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partner 1/_____ <input type="checkbox"/> Guarantor 1/_____ 		<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partner 2/_____ <input type="checkbox"/> Guarantor 2/ _____ 		
Relationship with Applicant (If applicable)	<input type="checkbox"/> Director <input type="checkbox"/> Others(Please Specify): _____ 		<input type="checkbox"/> Director <input type="checkbox"/> Others(Please Specify): _____ 		
Name in English					
Name in Chinese					
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female		<input type="checkbox"/> Male <input type="checkbox"/> Female		
HKID No. / Passport No.					
Date of Birth (YYYY-MM-DD)					
Nationality (Country/ Region)	<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> China (_____ Province_____ City) <input type="checkbox"/> Others _____ 		<input type="checkbox"/> Hong Kong, China <input type="checkbox"/> China (_____ Province_____ City) <input type="checkbox"/> Others _____ 		
*Keyman & Shareholding	<input type="checkbox"/> No <input type="checkbox"/> Yes % of Shareholding _____ 		<input type="checkbox"/> No <input type="checkbox"/> Yes % of Shareholding _____ 		
*Keyman Relevant Experience	Year(s)		Year(s)		
Residential Address	_____		_____		
Ownership of Residence	<input type="checkbox"/> Self-owned (Mortgaged) <input type="checkbox"/> Self-owned (No Mortgage) <input type="checkbox"/> Rented, Monthly Rent HKD _____ <input type="checkbox"/> Others(Please Specify): _____ 		<input type="checkbox"/> Self-owned (Mortgaged) <input type="checkbox"/> Self-owned (No Mortgage) <input type="checkbox"/> Rented, Monthly Rent HKD _____ <input type="checkbox"/> Others(Please Specify): _____ 		
Telephone No	Home:	Mobile:	Home:	Mobile:	

Any delinquent of facilities within past 12 months (multiple answers allowed)	<input type="checkbox"/> No <input type="checkbox"/> 1-30 Days of Past Due (twice or more) <input type="checkbox"/> >30 Days of Past Due <input type="checkbox"/> >90 Days of Past Due	<input type="checkbox"/> No <input type="checkbox"/> 1-30 Days of Past Due (twice or more) <input type="checkbox"/> >30 Days of Past Due <input type="checkbox"/> >90 Days of Past Due
Involvement in any litigation?	<input type="checkbox"/> No <input type="checkbox"/> Yes (Please Specify):	<input type="checkbox"/> No <input type="checkbox"/> Yes (Please Specify):

*Keyman shall mean Applicant's sole proprietor, partner, director, shareholder and/or controller.

Do the *Keyman(s) / Guarantor(s) of this application has /have any other secured or unsecured liability(ies)? If yes, please complete the following sections.
sheet(s) attached _____, No.: _____

	<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partner 1/_____ <input type="checkbox"/> Guarantor 1/_____	<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partner 2/_____ <input type="checkbox"/> Guarantor 2 / _____
Any other liability	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Name of Lender		
Nature of Loan	<input type="checkbox"/> Unsecured Loan <input type="checkbox"/> Secured Loan	<input type="checkbox"/> Unsecured Loan <input type="checkbox"/> Secured Loan
Type of Security(ies) (If applicable)	<input type="checkbox"/> Deposit <input type="checkbox"/> Stock/Bond <input type="checkbox"/> Property <input type="checkbox"/> Others _____	<input type="checkbox"/> Deposit <input type="checkbox"/> Stock/Bond <input type="checkbox"/> Property <input type="checkbox"/> Others _____
Value of Security (If applicable)	HKD	HKD
Type of Loan	<input type="checkbox"/> Term Loan <input type="checkbox"/> O/D <input type="checkbox"/> Others _____	<input type="checkbox"/> Term Loan <input type="checkbox"/> O/D <input type="checkbox"/> Others _____
Loan Limit / Outstanding Balance	Limit _____ Outstanding _____	Limit _____ Outstanding _____
Monthly Repayment	HKD	HKD

5. Unencumbered assets of Applicant & *Keyman(s) / Guarantor(s) sheet(s) attached _____, No.: _____

Owner	Deposit (HKD)	Stock & Bond (HKD)	Unsecured Property (HKD)	Property Address (If applicable)	Others(Please Specify): (HKD)

B. Relationship with the relevant person(s) of the Bank

Is the Applicant one of the following persons: a director / controller* / subsidiary / affiliate of the Bank or of a controller*, subsidiary or affiliate of the Bank?

Is any of the controllers* or directors of the Bank or relatives of such controllers* or directors interested in the Applicant as director, partner, manager or agent?

Is the Guarantor a controller* or director of the Bank or a relative of such a controller* or director?

**Controller of a company includes, without limitation, any person, whether alone or with associate(s), holds 10% or more shares of such company. For example, China Cinda Asset Management Co., Ltd. is a controller of the Bank.*

No . I/We confirm that, at present, there is no such relationship. I/we agree to notify the Bank promptly in writing if any such relationship arises.

Yes. (If yes, please tick (✓) in the appropriate box (es) and fill out the below details. You may tick (✓) more than one box.)

The Applicant is one of the following persons: a director / controller* / subsidiary / affiliate of the Bank or of a controller*, subsidiary or affiliate of the Bank?

A controller or director of the Bank or a relative of such controller or director is interested in the Applicant as director, partner, manager or agent.

The Guarantor is a controller or director of the Bank or a relative of such controller or director.

If the above answer is "Yes" , please fill in the followings:

Name of the above relevant person(s)	Company	Department	Position	Name of the Applicant / Guarantor(s)	Relationship with Applicant / Guarantor(s) set out on the left

C. Confirmation from the Applicant whether the loan application is referred by an intermediary or a third party

To enhance the protection of customers' interests against possible malpractices by fraudulent lending intermediaries, you are required to complete the following session and set out information about your current loan application with Nanyang Commercial Bank, Limited (the "Bank").

1. How did you learn about the Bank's lending services?

- from a staff member of the Bank (name: _____)
- from the lawyers or accountants retained by me/us
- from a chamber of commerce
- from mass media, electronic media, advertisement or promotional material
- from friends or relatives of officer(s) of SMEs
- other (please specify) _____.
- I/we did not learn about the Bank's lending services from any particular means

2. Is the current loan application referred to the Bank by an intermediary or a third party? (Please note that generally receiving information about the Bank's services through some of the channels stated in Question 1 above is not taken as referral)

- No
- Yes (Please proceed to Question 3)

3. If your answer to Question 2 is "Yes", please provide particulars of the intermediary or third party (e.g. if the intermediary is an estate agent company, please give the name of the company):

Name	
Telephone No.	
Business Registration No. (if applicable)	
License No. (if applicable)	
Has the intermediary or third party charged you any fee or will it charge you any fee for referring this loan application to the Bank? (See note below)	<input type="checkbox"/> No <input type="checkbox"/> Yes (Please specify the amount: _____)

NOTE:

1. Subject to point 3 below, with respect to loan applications referred to the Bank by any intermediary or third party, please note that the Bank will only accept those applications that are referred by the Bank's appointed intermediaries or third parties. Please check with the Bank's staff on whether the relevant intermediary or third party is an intermediary or a third party appointed by the Bank. If it is not an intermediary or a third party appointed by the Bank, the Bank will not proceed with the loan application.
2. If an intermediary or a third party appointed by the Bank has charged or will charge the applicant any fee for referring the loan application, the Bank may, at its sole discretion, refuse to proceed with the loan application.

I/We confirm that if I/ we make any intentional or negligent misrepresentation(s) and/or provide false information or omit to provide relevant information in this loan application, the Bank may not be able to process my/our application.

I/We authorize the Bank and/or the related bodies to make such enquires as the Bank and/or the related bodies consider necessary to verify such information.

I/We declare that in relation to all personal data collected by and provided to the Bank by me/us, all necessary consents required from the relevant data subject(s) have been obtained and that the data subject(s) are aware that their personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Data Policy Notice (the "Notice") made available by the Bank to the data subject(s) through us/me and that those data subject(s) are aware that they may have legal rights of access to and correction of information held about them by the Bank.

D. Declaration of the Applicant

I/We hereby apply to the Bank for the loan particularized in this application to be granted to the Applicant. Regarding such loan and any other matters mentioned in this application form (including its attachment(s)), I/we hereby agree, declare, confirm and acknowledge the following:

1. I/We confirm the information set out in this application form or supplied or to be supplied to the Bank is true, correct, updated and complete and authorize the Bank to make such enquiries as the Bank considers necessary to verify such information and for credit assessment purpose directly with or through any credit reference agency or from any source as the Bank may think fit. I/We acknowledge that such information will be used for the above credit application and other purposes that the Bank deems appropriate.
2. I/We hereby acknowledge, agree and accept that (a) the preliminary approval of this application and the loan amount granted is subject to the final approval and confirmation by the Bank and such preliminary and final approval shall be at the sole discretion of the Bank; and (b) the final approved loan amount, interest rate, fees and charges and other terms and conditions of the loan are subject to the relevant loan documents to be accepted and signed by me/us.
3. I/ We hereby acknowledge and agree that, subject to paragraph 4 & 5 below, any information with respect to me/ us which is provided by me/ us at the Bank's request or collected in the course of dealings between me/ us and the Bank may be disclosed to, or used and retained by any credit reference agency or similar service provider for the purpose of verifying such information or enabling them to provide such information to other institutions:
 - (a) in order that they may carry out credit and other status checks in respect of me/ us in my/ our capacity as applicant for, or guarantor of, credit facilities; and
 - (b) for the purposes of reasonable monitoring of any indebtedness while there is a current default by me/ us as borrower or guarantor.
4. (Applicable to limited company only)
 - (i) I/We may by giving the Bank 90 days' notice ("the Revocation Notice") in writing (which will take effect from the date of receipt by the Bank) revoke the consent given under paragraph 3 above.
 - (ii) If I/we give Revocation Notice to revoke the consent given pursuant to paragraph 3 above in accordance with paragraph 4(i) above:
 - (a) subject to paragraphs 4(ii)(f) and (g) below, the Bank may continue to disclose information pursuant to paragraph 3 above until the notice period of revocation given

- pursuant to paragraph 4(i) expires;
- (b) the Bank may notify all persons to whom the Bank is permitted to disclose information pursuant to paragraph 3 of the fact that a Revocation Notice has been given pursuant to paragraph 4(i);
- (c) the Bank may regard the Revocation Notice served on the Bank as also applying to the consent I/we have previously given in respect of all other credit facilities granted to me/ us;
- (d) the Bank may as its sole discretion terminate any facilities extended to me/ us with effect from the date to be advised by the Bank;
- (e) the credit reference agency or similar service provider may continue to retain information provided to it by the Bank in its internal archive for its internal use but not for provision of such information to other institutions when they seek credit reports;
- (f) the Bank may continue to provide information relating to hire purchase and leasing transactions and loans to wholesaler and retailers to finance the acquisition of stocks in trade to the credit reference agency or similar service provider notwithstanding revocation of the consent referred to in paragraph 4 (i) above; and
- (g) the credit reference agency or similar service provider may continue to provide information relating to hire purchase and leasing transactions and loans to wholesalers and retailer to finance the acquisition of stock in trade and information which is a matter of public record notwithstanding the revocation of the consent referred to in paragraph 4(i) above.
- (iii) Subject to paragraphs 4(i) and (ii), the consent shall remain in effect:
- (a) as long as I/we maintain an account relationship with the Bank and for a period of five years thereafter; or
- (b) whichever is later, for the period of five years after the date of settlement following a payment default of more than sixty days.
5. (Applicable to sole proprietorship/ partnership only)
- Under and in accordance with the terms of the Personal Data (Privacy) Ordinance and the Code of Practice on Consumer Credit Data approved and issued under the Personal Data (Privacy) Ordinance, I/we have the right, upon satisfactory termination of the loan by full repayment (not include payment by refinancing of the debt balance on the loan by the Bank) and on condition that there has been, within 5 years immediately before such termination, no time did the account have a default in payment for a period in excess of 60 days under the loan as determined by the Bank, to instruct the Bank to make a request to the relevant credit reference agency to delete from its database any account data relating to the terminated loan.
6. This application form supersedes any previous customer consent form or declaration previously signed by me/ us. The acknowledgement and agreement contained in this Declaration are in addition to and do not affect any agreement or consent contained in the Bank's account documentation and/ or standard terms and conditions.
7. I/We hereby agree that the Bank reserves the right to, at any time, obtain further information/ document from me/ us.
8. I/We hereby agree that if my/ our designated repayment account is cancelled, I/ We will promptly provide another appropriate account with the Bank as a substitute.
9. Except as disclosed in this application, I/ We declare that (i) I am/ We are not delinquent in repaying any credit facilities with any financial institution, (ii) I am/ We are not a bankrupt or discharged bankrupt/ insolvent or in liquidation, (iii) I/ we have no intention to declare bankruptcy or commence voluntary winding-up and (iv) I am/We are not aware of any bankruptcy/ winding-up proceedings commenced against me/ us.
10. I/We agree that the granting of any loan by the Bank to the Applicant shall be conditional upon that all statements and information provided by me/us in this application form or supplied or to be supplied to the Bank are true, correct, updated and complete. If any part of the statement and information provided by me/us is found to be false, incorrect, misleading or incomplete or there is any non-compliance of any terms herein, misrepresentation, mis-statements, breach of warranty or undertaking on my/our part herein, the Bank may, at its sole discretion, refuse to grant or withdraw the loan or any part thereof, and I/we shall forthwith repay the Bank immediately on demand the loan (if any) and indemnify the Bank from and against all costs, liabilities (actual or contingent) and incidental expenses in reasonable amounts and reasonably incurred by the Bank in connection with this application, without prejudice to all other rights, powers and remedies available to the Bank. I/We understand that I/we may incur civil and/or criminal liability by making intentional or negligent misrepresentation(s) and/or providing fraudulent information in this application or omitting to provide relevant information including without limitation my/our financial information (including without limitation information relating to our liabilities). The Applicant shall keep the Bank informed of any change of facts or circumstances which may render any information, statements, representations and/or particulars given hereunder, incorrect or untrue before the drawdown and the Applicant understands the non-disclosure of any facts on the change of circumstances hereunder may amount to making intentional or negligent misrepresentation(s) and/or providing fraudulent information or omitting to provide relevant information as above-mentioned.
11. I/We undertake at all times to notify the Bank in writing as soon as reasonably practicable of any change of the statements and/or information provided by me/us, including but not limited to my/our address, telephone number and facsimile number. The Applicant acknowledges and agrees that the Bank will rely on the information contained herein to approve this application and the Applicant has a continuing obligation to amend or supplement the information/documents provided in this application if any of the material facts which the Applicant has disclosed herein should change after the drawdown of the loan herein applied for.
12. I/We understand that the Bank shall appropriately examine this application. If upon such examination and investigation it is found that the application is not in compliance with any policy or loan requirement of the Bank, the Bank shall have the right to reject or adjust this application or re-examine the same.
13. I/We agree that the Bank may at any time without my/our notice assign or transfer, or agree to assign or transfer, the loan particularized herein, the relevant security document(s) and any other documents based on which the Bank has made available the loan particularized herein and any of my/our rights or obligations thereunder to any actual or potential assignee / transferee.
14. Third Party Rights
- (a) Subject to Clause 14(c), a person who is not a party to this Application has no right under the Contracts (Rights of Third Parties) Ordinance (Cap. 623 of the Laws of Hong Kong) (the “**Third Parties Ordinance**”) to enforce or to enjoy the benefit of any term of this Application.
- (b) Notwithstanding any term of this Application, the consent of any person who is not a party to this Application is not required to rescind or vary this Application at any time.
- (c) Any director, officer, employee, affiliate or agent of the Bank may, by virtue of the Third Parties Ordinance, rely on any provision of this Application (including without limitation any indemnity, limitation or exclusion of liability) which expressly confers rights or benefits on that person.
15. The Bank for its record may retain the original of this application form and documents provided by me/us to the Bank even if the loan is not approved by the Bank.
16. In case of any discrepancy between the English and Chinese versions of this application form, the English version shall prevail.

Currency Risk :

- 1) Investment in foreign currency(ies) and RMB is/are subject to exchange rate fluctuation which may result in loss.
- 2) RMB is currently not fully freely convertible. Corporate customers that intend to conduct conversion of RMB through banks may occasionally not be able to do so fully or immediately, for which it is subject to the RMB position of the banks and their commercial decisions at that moment. Customers should consider and understand the possible impact on their liquidity of RMB funds in advance.

E. Documents Required

To facilitate the Bank's processing of the application, please send copies of the following documents (documents supplied, including this application form, are not returnable and the Bank reserves the right to require the applicant to submit additional information/documents):

- Valid Business Registration Certificate
- Certificate of Incorporation (For limited company)
- Memorandum and Articles of Association (For limited company)
- HKID Card or Passport and Address Proof Proprietor/Partners/Directors/Guarantors
- Latest 6 months' main banker's bank statement of company account
- Asset Proof of Company/Proprietor/Partners/Directors/Guarantors (e.g. Deposit records, Latest Tax Return, Properties Owned and Outstanding Mortgage Loan records)
- Other relevant documents as requested by the Bank

F. Signature of the Applicant

1. I/We understand that by making any intentional or negligent misrepresentation(s) and/or providing false information or omitting to provide relevant information in connection with this application, I/We may incur civil and/or criminal liability. I/We have read the content of the Declaration and

agree / do not agree to the above.

2.(i) (Not applicable to incorporated bodies) I/We confirm that I/we have received, read and understood the Bank's Data Policy Notice (the “Notice”)

and agree to be bound by them (as amended by the Bank from time to time) and that all personal data and information provided by me/us to the Bank (a) have been collected by lawful means; and (b) are accurate in all material respects. I/We aware that my/our personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Notice made available by the Bank to me/us from time to time and that I/We aware that I/We may have legal rights of access to and correction of its information held by the Bank.

(ii) I/We declare that I am/we are duly authorized by the individuals whose personal data are set out in this application form (the "Individuals", each an "Individual"), to confirm that each Individual has received, read and understood the Bank's Data Policy Notice (the "Notice") and agrees to be bound by them (as amended by the Bank from time to time) and that all personal data and information in respect of each Individual provided by me/us on behalf of each Individual to the Bank (a) have been collected by lawful means; and (b) are accurate in all material respects so far as I/We am/are aware. I/We agree to ensure that, in relation to all personal data collected by and provided to the Bank by me/us, all necessary consents required from the Individuals have been obtained and that the Individuals are aware that their personal data and information may be used, transferred or disclosed by the Bank in accordance with its policies on the use and disclosure of personal data as set out in the Notice made available by the Bank to each Individual through me/us from time to time and that those Individuals are aware that they may have legal rights of access to and correction of information held about them by the Bank.

3. (Not applicable to incorporated bodies) I/We hereby inform the Bank that I/We do not wish the Bank to use my/our personal data in direct marketing via the following channel(s) (please use "✓" to select the channel(s) #):

Electronic Channels

Mail

Personal Call

If there is no selection in any of the above boxes, it means that you / your company do not wish to opt-out from any form of the Bank's direct marketing.

To improve and provide more comprehensive services to you/your company, the Bank may provide the personal data of you/your company to other members of the Group* and any other persons for their use in direct marketing of financial, insurance, credit card, securities, commodities, investment, banking and related services and products and facilities and so forth. Please tick "✓" this box if you/your company do not wish the Bank to provide your personal data to the above persons for the above purposes.

* The "Group" means the Bank and its holding companies, branches, subsidiaries, representative offices and affiliates, wherever situated, together with China Cinda (HK) Holdings Company Limited and China Cinda Asset Management Co., Ltd. Affiliates include the Bank's holding company and China Cinda (HK) Holdings Company Limited and their respective branches, subsidiaries, representative offices and affiliates that are located in Hong Kong.

The above represents your/your company's present choice regarding whether or not to receive direct marketing materials, and the Bank's intended provision of your/your company's personal data to other members of the Group* for their use in direct marketing. This replaces any choice communicated by you/your company to the Bank prior to this application. Please note that your/your company's above choice applies to the direct marketing of the classes of products, services and/or subjects as set out in the Bank's Data Policy Notice. Please also refer to the said Notice on the kinds of personal data which may be used in direct marketing and the classes of persons to which your/your company's personal data may be provided for them to use in direct marketing.

Signed by / Signed for and on behalf of the Applicant :

Name: _____ (In BLOCK LETTER) Position: Sole Proprietor / Partner / Director (Delete as appropriate)

Date: _____

Guarantor(1/__) Signature

Name: _____ (In BLOCK LETTER)

Date: _____

Guarantor (2/__) Signature

Name: _____ (In BLOCK LETTER)

Date: _____

Bank use only

直銷推廣設定(現有 CIN 客戶適用) :

已洽客戶確認貸款申請表內的直銷推廣選擇

已於 CIN 系統按申請內客戶的直銷推廣選擇作出修改

下述人員已對客戶於貸款申請表內所申報的一切資料核實正確無誤，並已對真確性作盡職審查。

1. "C" 部份必須符合如下其中一項:

申請公司確認貸款不是由第三者轉介

申請公司確認貸款申請由第三者轉介及無須支付轉介費；已檢查該第三者為本行委任中介公司

2. 已檢查以上申請人為東主(獨資公司)/全體合伙人(合伙公司)/一名董事(有限公司)簽署。

職員名稱: _____ 職員編號: _____ 分行/團隊編號: _____

職員簽署: _____ 日期: _____